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1FK/638

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of: Jongsma, et al

Examiner: Kubelik, Anne R.

Serial No.: 09/445,480

Group No. 1638

Filed: July 7, 2000

Docket No.: 250308-1020

For: **A Method for Plant Protection Against Insects or Nematodes by Transformation with a Nucleic Acid Encoding Equistatin**

**STATUS INQUIRY**

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

Inquiry is made as to the status of the above-identified application.

No confirmation has been received regarding the change of name filed November 26, 2001.

A copy of the filed documents and the confirmation postcard is enclosed.

Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By:

Daniel R. McClure, Reg. No. 38,962

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

Suite 1750, 100 Galleria Parkway  
Atlanta, Georgia 30339-5948  
(770) 933-9500



## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents**

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**Washington, D.C. 20231**

on January 28, 2005

Brooke French  
Brooke French

In Re Application Of: Jongsma, et al

Examiner: Kubelik, Anne R.

Serial No.: 09/445,480

Group No. 1638

Filed: July 7, 2000

Docket No.: 250308-1020

**Title: A Method for Plant Protection Against Insects or Nematodes by Transformation with a Nucleic Acid Encoding Equistatin**

The following is a list of documents enclosed:

Return Postcard  
Status Inquiry (in duplicate)  
Copy of filed change of name  
Copy of confirmation postcard



## CERTIFICATE OF MAILING

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents**  
**Box: Assignment**  
**Washington, D.C. 20231**

on November 26, 2001.

H. Ch. Barnhill

Hui Chin Barnhill

In re application of: **Jongsma, et al.**

Art Unit: 1638

U.S. Serial Number: 09/445,480

Examiner: Anne Kubelik

Filing Date: July 7, 2000

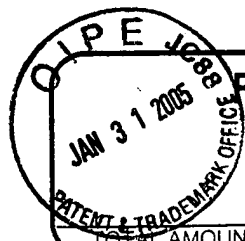
Our Reference Number: 250308-1020

Title: A Method Of Plant Protection Against Insects or Nematodes

The following is a list of documents enclosed:

Return Postcard  
Assignment  
Recordation Form Cover Sheet  
Fee Transmittal  
Check in the amount of \$40.00

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.



Under the Work Reduction Act of 1995, no persons are required to respond to

PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Section of information unless it displays a valid OMB control number.**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

**Complete If Known**

Application Number	09/445,480
Filing Date	July 7, 2000
First Named Inventor	Jongsma, et al.
Examiner Name	Anne Kubelik
Group / Art Unit	1638
Attorney Docket No.	250308-1020

TOTAL AMOUNT OF PAYMENT (\$ 40.00

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge
- 
- Indicated fees and credit any overpayments to:

Deposit Account  
Number

20-0778

Deposit Account  
Name

Thomas, Kayden, Horstemeyer Risley

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- 
- ☐
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:**

☐ Check ☐ Money  
Order ☐ Credit  
Card ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	\$
106	330	206	165	Design filing fee	\$
107	510	207	255	Plant filing fee	\$
108	740	208	370	Reissue filing fee	\$
114	160	214	80	Provisional filing fee	\$

SUBTOTAL (1)

(\$)

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	9.00	
Independent Claims	-3** =	42.00	
Multiple Dependent		140.00	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent Claims in excess of 3
104	280	204	140	Multiple dependent claims in excess of 3
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

\*\* or number previously paid, if  
greater; For Reissues, see above

SUBTOTAL (2)

(\$)

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension of time within second month	
117	920	217	460	Extension of time within third month	
118	1,440	218	720	Extension of time within fourth month	
128	1,960	228	980	Extension of time within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (time number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

40

**SUBMITTED BY**

Typed or Printed Name

Daniel R. McClure

Registration No. 38,962  
(Attorney/Agent)

Telephone Number

770-933-9500

Signature

Date

11-26-01

Deposit Account  
User IDWARNING: Information on this form may become public. Credit Card Information should not  
be included on this form. Provide credit card information and authorization on PTO-2038

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231



# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying part(ies):

Centrum Voor Plantenveredelings En Reproductieonderzoek

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: Plant Research International B.V.

Street Address: Droevendaalsesteeg 1, 6708 PB Wageningen  
The NetherlandsAdditional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: October 22, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent application No.(s)

B. Patent No.(s)

09/445,480

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

**Daniel R. McClure**  
 Thomas, Kayden, Horstemeyer & Risley, L.L.P.  
 100 Galleria Parkway, Suite 1750  
 Atlanta, Georgia 30339-5948

## 6. Total number of applications/patents involved: [ 1 ]

## 7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed  
☐ Authorization to charge credit card (attached)

## 8. Deposit Account Number:

20-0778

(Attach duplicate copy of this page if paying by Deposit Account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Daniel R. McClure

Typed Name

Signature

Nov. 26, 2001

Date

Docket #: 250308-1020

Total number of pages including cover sheet, attachments, and document: [ 5 ]

Mail documents to be recorded with required cover sheet information to:  
 Assistant Commissioner for Patents, Box Assignments  
 Washington, D.C. 20231

Serial No.: 09/445,480 Filed: July 7, 2000  
Patent No.: \_\_\_\_\_ Issued: \_\_\_\_\_  
Applicant: Jongsma et al.  
Title: A Method of Plant Protection Against Insects or Nematodes

Docket No.: 250308-1020 C/M Date: 11-26-2001  
EM No.: \_\_\_\_\_ Due Due: \_\_\_\_\_

**PATENT AND TRADEMARK OFFICE  
STAMP HEREON ACKNOWLEDGES  
RECEIPT OF THE BELOW  
IDENTIFIED PAPERS:**

Cert of Mailing: ☒ Regular US Mail  
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Fees ☒ \$ 40.00  
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Form ☐ Dep. Acct: \_\_\_\_\_  
☐ Credit Card Paym't Form

☒ Fee Transmittal Page

**USPTO STAMP**

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| <input type="checkbox"/> Missing Parts Response  | <input type="checkbox"/> Issue Fee Trx <input type="checkbox"/> In Duplicate          |
| <input type="checkbox"/> Verified Small Entity Statement(s)                                | <input type="checkbox"/> _____ Sheets of Drawings                                     |
| <input type="checkbox"/> Declaration & Power of Attorney                                   | <input type="checkbox"/> Formal <input type="checkbox"/> Informal                     |
| <input type="checkbox"/> Preliminary Amendment   | <input type="checkbox"/> Letter/Trx To Draftsman                                      |
| <input type="checkbox"/> Information Disclosure Statement                                  | <input type="checkbox"/> Prior to NOA <input type="checkbox"/> W/I NOA                |
| <input type="checkbox"/> Form 1449   | <input type="checkbox"/> After NOA  |
| <input type="checkbox"/> Cited Prior Art References ( )                                    | <input type="checkbox"/> Maintenance Fee Transmittal                                  |
| <input checked="" type="checkbox"/> Assignment/Assign't Cover Sheet                        | <input type="checkbox"/> 35 <input type="checkbox"/> 75 <input type="checkbox"/> 11.5 |
| <input type="checkbox"/> Status Inquiry (in duplicate)                                     | <input type="checkbox"/> in duplicate   |
| <input type="checkbox"/> Amendment Transmittal Page  | <input type="checkbox"/> Terminal Disclaimer  |
| <input type="checkbox"/> Amendment:  | <input type="checkbox"/> Notice of Appeal   |
| <input type="checkbox"/> <input type="checkbox"/> Non-Final <input type="checkbox"/> Final | <input type="checkbox"/> Appeal Brief   |
| <input type="checkbox"/> <input type="checkbox"/> After Notice of Allowance                | <input type="checkbox"/> Request for Refund   |
| <input type="checkbox"/> Petition for Extension of Time                                    | <input type="checkbox"/> Request for Correction F/R                                   |
| to: _____  | <input type="checkbox"/> Notice of Continuation Applic                                |
| <input type="checkbox"/> Petition to Make Special  | <input type="checkbox"/> Request for Cert. Of Correct                                 |
|  | <input type="checkbox"/> Certificate of Correction x 2                                |
| <input type="checkbox"/> Other: _____  |   |

Person Mailing: HCB

Responsible Atty: DEM

THOMAS, KAYDEN  
HORSTEMEYER & RISLEY, LLP

JAN 28 2002

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